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## Turbulent flux modelling with a simple 2-layer soil model and extrapolated surface temperature applied at Nam Co Lake basin on the Tibetan Plateau

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#### Abstract

This paper introduces a surface model with two soil-layers for use in a high-resolution circulation model that has been modified with an extrapolated surface temperature, to be used for the calculation of turbulent fluxes. A quadratic temperature profile based

- on the layer mean and base temperature is assumed in each layer and extended to the surface. The model is tested at two sites on the Tibetan Plateau near Nam Co Lake during four days during the 2009 Monsoon season. In comparison to a two-layer model without explicit surface temperature estimate, there is a greatly reduced delay in diurnal flux cycles and the modelled surface temperature is much closer to observa-
- tions. Comparison with a SVAT model and eddy covariance measurements shows an overall reasonable model performance based on RMSD and cross correlation comparisons between the modified and original model. A potential limitation of the model is the need for careful initialisation of the initial soil temperature profile, that requires field measurements. We show that the modified model is capable of reproducing fluxes of
- similar magnitudes and dynamics as the more complex methods chosen as reference.

#### 1 Introduction

Turbulent fluxes of momentum, latent and sensible heat are some of the most important interactions between land surface and atmosphere. These fluxes are responsible for the development or modification of mesoscale circulations and the generation of clouds

- that, as a consequence, feed back on surface fluxes through the modification of solar radiation. The effects of vegetation influencing boundary layer structure and moisture are widely acknowledged (i.e. Freedman et al., 2001; van Heerwaarden et al., 2009), while the feedback from short-lived clouds is less understood, but important. Shallow cumulus-surface interactions were shown in an LES study to impact surface temper-
- ature and fluxes on very short time scales (Lohou and Patton, 2011). For improved process understanding, it is necessary to use: (1) atmospheric models with sufficiently

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high resolution to resolve boundary layer processes as well as clouds and (2) surface models capable of reproducing the system's surface flux dynamics.

Our research focuses on surface-atmosphere interactions on the Tibetan Plateau (TP) in the Nam Co Lake region. With more than 4700 m a.s.l., a semi-arid climate

- and with a highly adapted *Kobresia pygmea* alpine steppe (Miehe et al., 2011), the TP proves to be a difficult environment for surface models (Yang et al., 2003, 2009). Specific problems include large temporal and spatial variability in soil moisture (Su et al., 2011), large diurnal variations of surface temperature from surface freezing before sunrise to more than 30°C at noon. Ma et al. (2009) give an overview about the
- <sup>10</sup> TP surface-atmosphere processes. On the TP, the fraction of diffuse solar radiation is very small, making cloud feedbacks especially important for the surface-atmosphere system. The model studies with a regional model of Cui et al. (2007) imply that some of the precipitation events on the TP are predominantly local and therefore not captured by coarser resolution models.
- In this paper we present results of a rather simple two-layer flux algorithm based on a two-layer soil model that is part of a vegetation dynamics and biosphere model (Friend, 2010). The original model produces a substantial delay in the diurnal turbulent flux cycle due to the low responsiveness of the upper model layer to changes in atmospheric forcing and fails to capture important dynamics. We therefore introduce an
- extrapolated surface temperature and show that this is capable of reproducing diurnal flux dynamics for two vegetation covered surfaces near Nam Co Lake. These sites are representative for the basin, but show very different dynamics. In our future studies, the same surface-model version will also be coupled to a spatially and temporally high resolution atmospheric model including radiation, cloud microphysics and active tracer
- transport. As simulations of the high-resolution model will be run for approximately 24 h we tested the surface model in column mode forced with standard atmospheric measurements for the same period of time with initialisation at 00:00 h Beijing Standard Time (BST). We acknowledge that this approach is different from most surface model studies that are run for longer periods, but it is necessary for the planned study

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of the coupled surface-atmosphere system. Such a surface flux algorithm is generally suitable for high-resolution atmospheric modelling studies of different ecosystems as it does not have built in assumptions about horizontal scales.

- It is our objective to test the suitability of a simple two-layer soil model with an improved surface or "skin" temperature estimated from the mean temperature of the uppermost layer that shall subsequently be used for driving an atmospheric circulation model for the Nam Co region on the TP. Therefore, fluxes derived from the surface flux algorithm with and without a specific formulation for "skin" temperature are compared to measured fluxes by eddy covariance technique and to fluxes derived by a more complex Soil-Vegetation-Atmosphere Transport (SVAT) Model, with five soil layers.
  - 2 Site description and experimental data

Nam Co Lake is located on the Tibetan Plateau at approximately 4730 m a.s.l., circa 150 km north of Lhasa. During the monsoon season of 2009 a joint measurement campaign was conducted from 27 June to 8 August by the University of Bayreuth (UBT) and

- the Institute of Tibetan Plateau Research Chinese Academy of Sciences (ITP). Data from 2 locations are used. Site 1, both referred to and operated by UBT is an eddy covariance complex close to the shore of a small lake next to Nam Co lake. UBT has a fairly constant soil moisture below circa 60 cm depth due to the influence of a water table. Additionally, the atmospheric measurements are influenced by a land lake
- <sup>20</sup> breeze. Site 2 (operated by and referred to as ITP) is at the Nam Co Station for Multisphere Observation and Research (Li et al., 2009; Cong et al., 2009), approximately 300 m distant from both UBT and the lake with a sandy soil and a very low field capacity (FC = 5 %) compared to overall pore volume (39 %). The vegetation at both sites is grassland (Metzger et al., 2006) with UBT having a small bare soil fraction (0.1)
- <sup>25</sup> compared to 0.4 at ITP). Small FC and the generally low volumetic top soil water contents ( $\theta_v$ ) at ITP, lead to large sensible energy fluxes compared to latent heat fluxes ( $Q_H >> Q_E$ ). After rain events however,  $\theta_v$  may exceed FC by a factor of up to 3 leading

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to a similar flux regime at the two stations with  $Q_{\rm E} > Q_{\rm H}$ . Due to the generally drier conditions at ITP, surface temperature frequently drops below 0 °C in the early morning hours. At UBT there were soil temperature sensors installed at 2.5, 5, 10, 20, 30 and 50 cm depths. At ITP no accurate soil temperatures were available at depths less than 20 cm. Comprehensive information of ITP and UBT surface and soil properties is found

in Table 1.

#### 2.1 Forcing data

The 24-h model runs are initialised with the soil temperature profile and soil moisture at 00:00 h BST (~22:00 in local solar time). Four days were selected from the campaign

- <sup>10</sup> representing different weather conditions. 10 July was a complex day with rain in the morning and sunshine in the afternoon. 27 July was a cloudy day without rain. 5 August was a radiation day after a period of rain leading to moist conditions and large  $Q_E$  at ITP. 6 August was similar to the previous day, but with some of the water drained from the soil at ITP and developing clouds in the afternoon. During 10 July and 5 August, the
- station close to the lake (UBT) came under the influence of a lake breeze during which the forcing data (except for radiation measurements) correspond rather to the nearby lake than the land surface. Due to the overcast sky on 27 July the lake breeze was severely weakened as described in Zhou et al. (2011), so that there was only limited influence of the lake surface onto the atmospheric measurements.
- The model is forced with measured atmospheric data from two sites on the TP providing air temperature, water vapour mixing ratio, wind speed, air pressure, precipitation and downwelling long and shortwave radiation. These data are displayed in Fig. 1 for UBT and Fig. 2 for ITP. In general 30-min mean values were linearly interpolated to the surface model time step that was the same as a typical time step of an atmospheric
- <sup>25</sup> model ( $\Delta$ t =2.5 s). The only selected day with precipitation (rain) during day-time was 10 July 2009. However, there was also rain recorded at UBT from about 22:00 h BST on 6 August 2009. Half hourly precipitation was scaled down to the model time step assuming a constant precipitation rate per 30-min interval. There was little difference

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between the data measured at ITP and UBT, as expected due to the proximity of the sites. However there was an offset of approx. 5 hPa between the recorded pressures, that was not corrected for as this is likely within the uncertainty of the sensors and the model should not be too sensitive to such a pressure difference. Unlike UBT where rain

<sup>5</sup> 30-min precipitation was available, there were only daily sums recorded for ITP, which had to be downscaled to 30-min values by scaling them linearly with UBT observations.

#### 3 Modelling approach

The surface model Hybrid (Friend et al., 1997; Friend and Kiang, 2005) is currently coupled to the high-resolution Active Tracer High-resolution Atmospheric Model (ATHAM)

<sup>10</sup> by Oberhuber et al. (1998) and Herzog et al. (1998) for the investigation of feed-backs between atmospheric processes and surface fluxes.

Our high-resolution modelling approach aims at a spatial and temporal resolution in the order of 500 m and 2.5 s, respectively. As our focus is on diurnal surfaceatmosphere interactions, the surface model must capture the magnitude of the fluxes

and must be able to react quickly to changes in atmospheric forcing. Therefore, a surface model that is capable of reproducing realistic turbulent energy and water vapour fluxes at a sufficiently high temporal resolution and at reasonable computational costs is needed. We decided against a model with more than two soil-layers due to higher computational cost and instead modified the original Hybrid model to meet these requirements.

#### 3.1 The surface model

The modified version of Hybrid which is a process based terrestrial ecosystem and surface model, incorporates a simple two-layer representation of the soil and uses the turbulent transfer parameterisations taken from the GISS model II (Hansen et al., 1082). The transfer equations in Hybrid are described in Friend and Kiang (2005). Bare

<sup>25</sup> 1983). The transfer equations in Hybrid are described in Friend and Kiang (2005). Bare

soil parameterisation follows the approach of SSiB (Xue et al., 1996) that is based on Camillo and Gurney (1986) and Sellers et al. (1986). Turbulent fluxes are calculated using a bulk approach for the sensible heat flux ( $Q_{\rm H}$ ):

$$Q_{\rm H} = c_{\rm p} \, \rho \, C_{\rm H} \, u(z) \, (T_0 - T(z))$$

- <sup>5</sup> with air specific heat capacity ( $c_p$  [Jkg<sup>-1</sup>K<sup>-1</sup>]), the Stanton number ( $C_H$ ) which is calculated as a function of roughness length  $z_0$  and Bulk Richardson Number, air density ( $\rho$  [kgm<sup>-3</sup>]), measured wind speed (u(z) [ms<sup>-1</sup>]) and air temperature (T(z)) at measurement height z [m] and with  $T_0$  being the surface temperature. All temperatures used are in K. The latent heat flux ( $Q_E$ ) is derived in a more complex manner from bulk soil
- evaporation and a canopy resistance approach estimating plant transpiration. Transfer coefficients are modified from Deardorff (1968). Plant physiology and stomatal conductance are included via generalised plant types (GPT). As an ecosystem model Hybrid is designed to work on hourly to climate scales (Friend, 2010) and and should therefore be capable of reproducing diurnal flux cycles as well as ecosystem changes on climate
- scales. It was originally developed as a biosphere-surface component for the GISS GCM. A "thin" upper layer with 10 cm thickness follows the daily cycle of surface temperatures, whereas a lower layer with 4 m thickness acts as the memory for the annual cycle. However, an upper layer of such thickness imposes a substantial dampening on the diurnal temperature cycle and will effectively act as a lowpass filter for events
- <sup>20</sup> of short durations such as cloud shading that, especially under the conditions found at the TP, has a substantial immediate impact on surface temperatures and on fluxes as well. This is also seen in Fig. 12 of Hansen et al. (1983), where a time delay of approximately 2 h is visible for surface temperature in the diurnal cycle. A similar behaviour of the original Hybrid is discussed in Sect. 5.4. Shortcomings with the representation of
- <sup>25</sup> diurnal cycles may also impact on longer term studies as the model drifts away from a realistic state. As we plan to apply the coupled model for high-resolution simulations with a time step in the order of seconds, we focus in this work on the accuracy of the diurnal flux cycles that can be achieved with such a model.

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#### 3.2 The modified soil model in Hybrid

Two main changes that lead to a better representation of the actual soil state have been introduced to Hybrid. They address its main problems of a delay in diurnal flux evolution and its weak responsiveness to sudden short-term changes in atmospheric forcing.

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### 3.2.1 Diagnostic surface temperature

An extrapolated surface temperature ( $T_0$ ) is being introduced that is then subsequently used for the calculation of atmospheric stability through the Bulk Richardson number as well as for  $Q_H$  and  $Q_E$ . This approach is somewhat similar to the "force-restore method" (Diaducture 1070) that also a constrained a source of a sub-

- method" (Blackadar, 1979) that also aims at providing a realistic surface temperature imitating the behaviour of real soils. However, while "force-restore" uses an oscillating heat source as forcing term and and a heat-flux into the ground as restoring term (Yee, 1988), our method is not dependent on a periodic heating function and uses the concept of layer heat storage.  $T_0$  is derived from a set of assumptions that were already
- included in Hybrid going back to Hansen et al. (1983). For both layers denoted with the subscripts 1 and 2 from the model top, we assume a quadratic temperature profile T(z) (Fig. 3):

$$T_{1,2}(z_{\rm rel}) = a_{1,2} \left( z_{\rm rel} - d_{1,2} \right)^2 + T_{\rm base_{1,2}}$$

with  $a [\text{Km}^{-2}]$  being a constant,  $Z_{\text{rel}}$  [m] the depth below the top of the layer, d [m] the layer thickness and  $T_{\text{base}}$  as temperature at the base of the respective layers. There is no transfer of heat through the lower boundary of the model so that  $T_{\text{base}_2}$  is constant and equal to annual mean temperature. The relationship between layer heat content *E* [J] and temperature profile is given by:

$$E = c_{\rm p_s} \int_{Z_{\rm L}}^{Z_{\rm U}} T(z) \,\mathrm{d}z$$

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(1)

(3)

(2)

where  $z_{\rm L}$  and  $z_{\rm U}$  are the lower and upper boundaries of the layer and  $c_{\rm p_s}$  [Jm<sup>-3</sup>K<sup>-1</sup>] is the total soil heat capacity. Hence, with a known heat content for each layer it is possible to solve for

$$a_2 = \frac{\frac{E_2}{c_{p,2}} - d_2 T_{\text{base}_2}}{\frac{d_2^3}{3}},$$
(4)

by integrating Eq. (3) with Eq. (2) and solving for a<sub>2</sub>, thus deriving the base temperature of the first layer:

$$T_{\text{base}_1} = T_{\text{base}_2} + a_2 d_2^2.$$

In a similar fashion  $a_1$  and  $T_0$  can be approximated:

$$a_1 = \frac{\frac{E_1}{C_{p,1}} - d_1 T_{\text{base}_1}}{\frac{-Z_1^3}{3}}$$
(6)

10 and

 $T_0 = T_{\text{base}_1} + a_1 d_1^2$ .

#### 3.2.2 Heat diffusion estimation

In the original heat diffusion algorithm of Hybrid (Hansen et al., 1983), the heat flux from the first to the second soil layer F(z) is dependent on the difference between mean surface layer temperatures ( $\overline{T}$ ), the soil heat flux calculated as residual of turbulent and

<sup>15</sup> surface layer temperatures (*T*), the soil heat flux calculated as residual of turbulent and radiation fluxes (F(0)), layer thickness and thermal resistances *r*,

$$F(z) = \frac{3\bar{T}_1 - 3\bar{T}_2 - 0.5F(0)r_1}{r_1 + r_2} \times \Delta t,$$
(8)

where  $\Delta t$  is model time-step. This leads to unrealistic modelled heat fluxes F(z) as F(z) is largely dominated by F(0), which is positive during nighttime and negative during 10283

daytime, thus leading to a net transfer of heat from a cold to a warm layer. With the assumption of a subgrid temperature profile the heat flux between the two layers Eq. (8) was modified with a heat diffusion approach and integration of

$$\frac{\partial T}{\partial t} = D \frac{\partial^2 T}{\partial z^2} \tag{9}$$

<sup>5</sup> with *D* being the diffusion constant for heat. We assume  $\partial z$  to be approximated by the diffusion length  $L = 2\sqrt{\Delta tD} = \Delta z$ . As the model is run with the short time-step of the atmospheric model, such a formulation becomes valid. A rough calculation for *L* with  $D = 10^{-6} \text{ m}^2 \text{ s}^{-1}$ , which is close to the determined value, and  $\Delta t = 30 \text{ min gives}$ L = 0.08 m, which is close to  $d_1$ , posing an upper limit on  $\Delta t$  for this method.

#### 0 3.3 Surface temperature profile initialisation

Due to the quadratic nature of the soil layer temperature profiles and their potential kink at the layer interface (see Fig. 3), the modified model depends on careful initialisation that full-fills two requirements: (1) a realistic estimate of surface temperature and (2) an appropriate estimate of ground heat storage (E) allowing the upper layer to react in a

- realistic way. In this study soil temperature measurements at several depths were used in order to accomplish both requirements. Surface temperature was estimated from upwelling longwave radiation according to the Stefan-Boltzmann law with a longwave emissivity of e = 0.97. The 10 cm temperature at UBT was used as  $T_{base_1}$  and the temperature curve for the upper soil layer was fitted to measurements by minimising
- the squared mean error. Due to the lacking 10 cm temperature at ITP, this temperature had to be estimated from the 20 cm measurement. It should be noted that the assumed quadratic temperature profile in the lower soil layer clearly underestimated the vertical temperature gradient in the soil as estimated UBT temperatures at 50 cm were always higher than measured temperatures. This difference is reduced from July to August
- as the summer warming reaches lower layers. This is a limitation due to fixed layer depths.

(5)

(7)

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Table 2 shows the initial temperatures for each day. From the span of layer temperatures  $\overline{T_1}$  and  $\overline{T_2}$ , the theoretical parameter space of  $T_0$  for a constant  $T_{base_2}$  (Fig. 4) can be derived. A random combination of the initial temperatures given in Table 2 would yield  $T_0$  in the rage of -10 to 30 °C. In contrast, the actual model layer temperatures, indicated by the crosses in Fig. 4c, occupy a much smaller area and are, with the exception of one day, clustered closely. This highlights the importance of a care-

# ful initialisation of the soil temperature profile requiring knowledge about subsurface temperatures that are difficult to estimate without field measurements.

#### 4 Flux comparison

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Surface fluxes derived with any method contain inaccuracies such as measurement errors or theoretical limitations. Therefore we are not comparing our modelling results to the absolute truth, but to two flux references.

#### 4.1 EC and SEWAB reference fluxes

Fluxes estimated by both versions of Hybrid are compared with observed fluxes derived by eddy covariance (EC) method and fluxes modeled by the SVAT model SEWAB (Surface Energy and Water Balance model – Mengelkamp et al., 1999), which has been calibrated to the two sites for gap-filling and up-scaling of flux measurements. Both flux references yield fluxes averaged over 30-min intervals. Unlike many SVAT models

- that derive the soil heat flux from the flux residual, SEWAB is solving the surface energy balance equation ( $Q_{\rm E} + Q_{\rm H} + Q_{\rm Rad} + Q_{\rm Soil} = 0$ ) iteratively for  $T_0$  by Brent's method (Mengelkamp et al., 1999), hence closing the energy balance locally (Kracher et al.,
- 2009). In contrast, the surface energy balance closure derived by EC is only in the order of 0.7 at Nam Co Lake (Zhou et al., 2011). This means that 30 % of the net radiation is not captured by surface flux measurements. However, energy balance closure
- <sup>25</sup> must not be used as a quality measure for flux measurements (Aubinet et al., 1999)

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as surface heterogeneity leads to organised low frequency structures and mesoscale circulations (Panin et al., 1998; Kanda et al., 2004) that are mainly responsible for the lack of closure (Foken, 2008). This is especially true for sea (lake) breeze systems, where a significant portion of the energy fluxes is transported horizontally (Kuwagata

- <sup>5</sup> et al., 1994). Therefore, SEWAB (and Hybrid) fluxes are comparatively larger than measured ones. When the energy balance is closed artificially by redistributing the residual to fluxes according to the Bowen ratio (Twine et al., 2000), the resulting fluxes are in much better agreement with SEWAB (Babel et al., 2011). Therefore we use, when possible, energy balance corrected fluxes ( $Q_{E_{EC,EBC}}$  and  $Q_{H_{EC,EBC}}$ ). EC data were collected at 3 m height and calculated using the TK3 package (Mauder et al., 2008;
- collected at 3 m height and calculated using the TK3 package (Mauder et al., 2008; Mauder and Foken, 2011). Quality checks were performed according to Foken et al. (2004). A detailed description of the instrumentation can be found in Biermann et al. (2009). The rain event of 10 July leads to the exclusion of fluxes due to quality concerns. Both Hybrid and SEWAB produce fluxes during rain, but their quality is unknown as they cannot be compared to measurements.
  - Measuring in close proximity to the lake also means that depending on wind direction the fluxes measured at UBT are originating from land, water or a mixture of both as the footprint of the EC system and the forcing data is located upwind of the site. This leads to problems in the energy balance closure and the integration of fluxes. The
- development of a lake breeze system at Nam Co means that during most days there are no flux measurements available from the late morning or early afternoon until the lake breeze ceases. The days of 27 July and 6 August are the only days during which the field campaign provides data that do not have a full lake breeze influence at UBT. Therefore it is beneficial to compare not only to measured fluxes, but also to SEWAB
- <sup>25</sup> (Q<sub>ESEWAB</sub> and Q<sub>HSEWAB</sub>). For completeness, fluxes over the lake calculated by the TOGA-COARE algorithm (Fairall et al., 1996a,b) that is also part of the coupled surface-atmosphere model are given during lake breeze events.

#### 4.2 Statistical evaluation measures

In order to assess the model quality we use Root Mean Square Deviation (RMSD) and Cross Correlation (Eq. 11):

$$RMSD = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (P_{p} - P_{r})_{i}^{2}}$$
(10)

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$$R^{2}(j) = \left(\frac{\operatorname{cov}\left(P_{p}(1+j:N), P_{r}(1:N-j)\right)}{\sigma_{P_{p}(1+j:N)}\sigma_{P_{r}(1:N-j)}}\right)^{2}$$
 (11)

with  $R^{2}(j)$  being the coefficients of determination for the predicted ( $P_{n}$ ) and reference  $(P_r)$  flux time series shifted by *j* elements, the total number of elements in each time series (N) and  $\sigma$  as their respective standard deviations. Both SEWAB and EC measurements produce 30-min flux averages, whereas Hybrid was set to 10-min averaged

fluxes. Therefore the reference fluxes were linearly interpolated to Hybrid's output times before statistical evaluation. Periods when no energy balance corrected EC measurements were available were excluded from the calculation of the statistical measures.

#### 5 Results and discussion

The following section presents and discusses the improvements that are achieved for a simple two-layer model when the formulation for surface temperature is included. 15

The original two-layer model Hybrid fails to reproduce the diurnal dynamics observed at UBT due to the thermal inertia of the top-layer. The delayed response in surface temperature leads to a shift in the resulting turbulent surface fluxes. This causes an underestimation of  $Q_{\rm E}$  and  $Q_{\rm H}$  until ~18:00 h BST and later to an overestimation due to delayed surface cooling. The improvement of the modified Hybrid over the original

20 formulation is discussed in more detail in Sects. 5.1 and 5.4.

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The latent (Fig. 5 – left column) and sensible heat fluxes (right column) estimated with the modified Hybrid model are generally in good agreement with the reference fluxes derived by EC and SEWAB. The diagnostic surface temperature (right column) also shows a close agreement. In some instances there remains a small shift in fluxes compared to the reference values, but this has been greatly improved compared to the

- original Hybrid. The surface temperatures are also in good agreement after sunrise, despite the fact that during the clear sky days in August there is excessive night-time surface cooling simulated by model. This is less of an issue during the overcast nights. The situation at ITP is quite similar to UBT. The modified model agrees well with the
- EC and SEWAB reference data. On 5 August the turbulent flux dynamics match the 10 EC measurements closely (Fig. 6), while the original Hybrid showed a strong delay in the flux response as the soil remained frozen during the morning. The same is true for the magnitude of the latent heat flux, but not for  $Q_{\rm H}$  where Hybrid produces fluxes of a similar magnitude as SEWAB. These are considerably larger than the fluxes measured
- by EC and corrected for energy balance closure. For 6 August the modelled maximum 15 of  $Q_{\rm E}$  is larger than the maximum  $Q_{\rm E_{EC,EBC}}$  and much greater throughout most of the day compared to SEWAB.  $Q_{\rm H}$  in contrast shows similar diurnal dynamics as  $Q_{\rm H_{EC,EBC}}$ , but with its magnitude closer to the sensible heat flux derived by SEWAB. This starts to diverge around 18:00 h. A large negative  $Q_{\rm H}$ -flux in the morning hours is apparent
- but greatly improved compared to the unmodified Hybrid version. Figure 5a and b also 20 highlights some limitations of ecosystem research as a large portion of the data had to be rejected due to limitations described in Sect. 4.1.

During lake breeze events the surface fluxes over water derived from TOGA-COARE are displayed. Sensible heat fluxes are in close agreement with EC data and fluxes derived by a hydrodynamic multi-layer lake model (Foken, 1984; Panin et al., 2006). Latent heat fluxes show a similar behaviour and are of similar magnitude on 10 July and 6 August. On 5 August there is at least a gualitative agreement between COARE and EC measurements.

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#### 5.1 Discussion of turbulent fluxes

The original two layer model reacts only slowly to the atmospheric forcing, delaying the fluxes' response to atmospheric forcing. Such a time lag leads to a shift in the diurnal cycle and is problematic for the coupling to atmospheric models since surface

- fluxes are one of the main drivers of regional and local circulation as well as cloud development. These will certainly be affected by erroneous surface flux dynamics. In our specific case, the dampening of the diurnal temperature cycle and and the delay in surface fluxes may reduce the intensity of the land-lake breeze or may delay its development through a reduction of differential heating between land and lake surface.
- <sup>10</sup> However, there is still a minor delay visible in the modified Hybrid as the surface temperature is purely diagnostic and dependent on  $\overline{T_1}$ . This is discussed in more detail in Sect. 5.4.

Table 3 shows the results of the RMSD between the modelled results and the reference quantities. With the modified Hybrid model there is a 40–60 % improvement in

- the RMSDs compared to the original Hybrid, when both are compared against SEWAB. The only notable exception for this is 6 August at ITP, where a strong deviation of turbulent fluxes derived by SEWAB and measured fluxes was encountered. This is due to a problem with SEWAB for this day as it falls into a dry interval between rainy periods, where SEWAB underestimates the soil water content. The picture is more diverse for
- <sup>20</sup> the comparison between the energy balance corrected EC fluxes and Hybrid. There is reduction in the error for all instances, except  $Q_H$  on 6 August at ITP, but the reductions cover a much larger range from less than 1% to 80%. Due to data quality concerns the number of comparable elements is much lower (*N* given in Table 3) and probably too small for meaningful statistics in case of UBT. This is especially true as the daytime
- <sup>25</sup> lake breeze influence coincides with the times when one would expect the best quality of EC fluxes. As flux qualities are usually lower during conditions with limited vertical exchange (stable stratification), EC fluxes at ITP mainly reflect the daytime model performance whereas the comparison with SEWAB also takes into account the night-time,

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where fluxes and therefore absolute differences are smaller. The small improvement of RMSD of  $Q_{\rm H}$  and  $Q_{\rm H_{EC,EBC}}$  at ITP can be explained by the fact that the modified Hybrid follows the dynamics of EC, but flux estimates are larger and of the same magnitude as fluxes calculated by SEWAB. Mauder et al. (2006) have estimated the error or EC

- <sup>5</sup> measurements to be 5 % or < 10 Wm<sup>2</sup> for  $Q_{\rm H}$  and 15 % or < 30 Wm<sup>2</sup> for  $Q_{\rm E}$ . Additional uncertainty is added to the measured fluxes by the lack in energy balance closure. When this is taken into account there is a significant difference between the  $Q_{\rm H_{Hybrid}}$  and  $Q_{\rm H_{EC,EBC}}$  for ITP on 6 August. On 5 August (ITP) and 6 August (UBT) the deviation of fluxes may still be explained by measurement errors and by shortcomings in the
- energy balance closure scheme. Indeed, there is no indication to assume scalar similarity between temperature and moisture transport (Ruppert et al., 2006; Mauder et al., 2007). Therefore, additional research, such as high-resolution atmospheric modelling studies, need to be carried out in order to determine the contributions of  $Q_{\rm H}$  and  $Q_{\rm E}$ to the "missing" energy. It should be noted that all modelled fluxes and measurements
- <sup>15</sup> have errors, so that there is no absolute way of knowing which method produces the best flux estimates. The incorporation of surface fluxes into a regional circulation model may give some insight into whether modelled surface atmosphere interactions lead to realistic atmospheric flow patterns.

The large negative and potentially unreasonable night-time  $Q_{\rm H}$ -fluxes that are modelled for ITP are owed to the bulk formulation, strong surface winds and a large temperature gradient between the surface and the air at measurement height.

#### 5.2 Discussion of surface temperature

For surface temperature there is a notable decrease in RMSD for all cases. Additionally the source of the error changes. In the original model the error in  $T_0$  was mainly due to the time-lag and a general underestimation of daytime maximum surface temperatures. In the new model daytime  $T_0$  matches a lot better with observations except for ITP 6 August, where evaporative cooling due to excessive evapotranspiration contributes to too small warming rates. In return, the cooling during the nighttime is overestimated.

This may either be due to errors in soil moisture, surface emissivity ( $\epsilon$ ) or due to the surface temperature extrapolation function used in this work.

#### 5.3 Soil moisture variation and evapotranspiration

After a 24 h run the moisture content of the first model layer (last two columns of Table 2) is smaller than measurements suggest. For the case UBT, measured soil moisture content does hardly vary on a day to day scale and is kept well above FC due to groundwater influence. This is not reflected by the model as it lacks the the capability to include groundwater tables. The true soil moisture at ITP has a much larger variation due to its low FC and comparatively large pore volume. During the dry day of 27 July

- <sup>10</sup> the upper soil layer loses 1.5 mm of water whereas during the moist days of August there is a total loss from layer one of 6.7 and 5.3 mmd<sup>-1</sup>, respectively. Comparing  $\theta_{1_{end}}$ of 5 August with  $\theta_{1_{obs}}$  of the next day shows that the model would perform considerably worse if it were not restarted every day. This is caused by a very limited soil hydrology included in Hybrid. Hu et al. (2008) have estimated the summer evapotranspiration
- on a central Tibetan grassland site to be in the order of 4–6 mmd<sup>-1</sup>. An experiment conducted within the framework of TiP has estimated bare soil evaporation and evapotranspiration of a very dry soil at Kema in 2010 (~150 km northeast of Nam Co Lake) at 2 mmd<sup>-1</sup> rising to at least 6 mmd<sup>-1</sup> and possibly more for a vegetated *Kobresia* pasture during an irrigation experiment (H. Coners University of Göttingen, personal
- <sup>20</sup> communication, 27 June 2011). Even though the soils are not directly comparable this suggests similar dynamics in  $Q_E$  to the ITP site. One factor likely to play a role in the local water cycle that is not included is dew fall in the early morning hours. Direct absorption of atmospheric moisture on bare soil (Agam (Ninari) and Berliner, 2004) and dew fall are often considered a significant moisture input for semi-arid environments
- (Agam and Berliner, 2006). Heavy dewfall in the vicinity of Nam Co Lake is frequently observed, but has, at least to our knowledge, never been quantified. This additional source of water and the associated local recycling of water may account for a significant fraction of the missing water. In addition to this, the too simplistic representation

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of soil hydrology is very likely responsible for the remaining water deficit in the upper layer of the soil model.

#### 5.4 Cross correlation of turbulent fluxes

A different way of looking at the model performance is cross correlation of the modelled surface fluxes against EC measurements and SEWAB (Fig. 7). These measures give an insight into the reasons for the delayed response of the surface model and the amount of flux-variance explained, but does not yield information whether the model and the reference fluxes show a true one-to-one correlation. As with RMSD the quality of the analysis is limited by the number of data points that can be correlated, which

- is comparatively small for the energy balance corrected EC measurements at ITP and even smaller at UBT due to lake breeze influences (Fig. 7a–e). Hence, it is very difficult to interpret the cross correlations for EC. It is probably fair to say that there is a tendency for smaller time lags during the time series with higher number of elements, notably UBT 6 August and all days of ITP and that the total explained variances are at the
- same level of determination, when comparing the maximum  $R^2(j)$ . A notable exception is ITP 5 August.

For the comparison with SEWAB (Fig. 7f–h), it becomes notable that for many cases the maximum  $R^2(j)$  of the modified Hybrid approach  $R^2 \rightarrow 1$  and that their maxima are usually found at lags of 10–30 min (j = 1 - 3). Solar radiation rapidly modifies the skin

- <sup>20</sup> temperature that is governing turbulent fluxes. As SEWAB has an instantaneous surface temperature solver for each model time step, one would expect a direct response of SEWAB to changes in solar radiation. This may even be faster than in reality, especially for  $Q_{\rm E}$  flux that is not only dependent on the actual skin temperature, but also on the vegetation's response. Including negative values of *j* into Fig. 7 would show a
- <sup>25</sup> gradual decrease of correlations with decreasing *j*, showing that the flux dynamics of Hybrid never precede EC measurements or SEWAB.

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#### 5.5 Natural variability of fluxes

Atmospheric quantities and turbulent surface fluxes have a large natural variability that is difficult to measure or to model. The EC approach is dependent on averaging procedures and most standard measurements will yield mean values. In order to use

- high-frequency measurements for flux estimation, less common techniques such as 5 conditional sampling or wavelet-spectra have to be used. Even if models are capable of reproducing variability on realistic scales it is difficult to supply forcing data with similar resolution. The forcing data used in this study, sampled and averaged 10 or 30 min means, are used for SEWAB. Running Hybrid at time steps comparable to a
- high-resolution mesoscale model requires interpolation of the forcing data and there-10 fore potentially causes a smoothing of the model's response compared to the actual weather forcing as it would be provided by a coupled model. As surface models share a similar approach to the parameterisation of surface fluxes and close the surface energy balance locally, SEWAB and Hybrid fluxes are more similar to each other than they are to field measurements.
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#### Conclusions 6

The accurate generation of surface fluxes is a necessary pre-requisite for studies of surface-atmosphere interactions and local to mesoscale circulations. In order to gain a better process understanding of the interaction between atmospheric circulation,

clouds, radiation and surface fluxes, the generated diurnal flux cycles have to be of 20 realistic magnitude and without temporal shift. The original two-layer surface model without a specific formulation for  $T_0$  produced both a considerable time lag and failed to capture the full diurnal dynamics due to its unresponsiveness.

We have demonstrated that the introduction of an extrapolated surface temperature enables even a quite simplistic soil model to realistically estimate turbulent surface 25 fluxes and skin temperatures. The delay of fluxes during the daily cycle was greatly

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reduced, making the model usable for diurnal process studies. The total magnitude of fluxes is also much improved, when few and computationally cheap additional physically based processes are introduced. Comparing SEWAB with Hybrid, the RMSD for both fluxes and surface temperature is decreased by generally 40-60 %. The im-

- provement in quality was somewhat more varied in comparison to EC measurements, as comparison of models and measurements is not straight forward. The improved  $R^{2}(i)$  for smaller values of i shows that temporal shifts of the flux time series have been greatly reduced and the overall correlations are high. As with any natural system it is impossible to obtain complete data sets that capture the full amount of natural
- variability. However, the modified model has been tested for a larger spectrum of en-10 vironmental conditions on the TP and produced reasonable results for both dry and moist conditions

We have shown that a rather simple soil surface model can efficiently calculate turbulent fluxes at a high temporal resolution when driven by realistic atmospheric condi-

- tions. Nevertheless, it is quite clear that such an approach with extrapolated surface temperature needs careful model initialisation. The initial soil heat contents and therefore knowledge of soil temperature profiles is necessary. Due to the fact that the surface temperature in this study is a purely diagnostic quantity, there may still be some limitations such as a delayed or smoothed response to atmospheric forcing on very short
- timescales, such as the feedback between passing boundary-layer clouds and the sur-20 face fluxes. The influence of surface fluxes and their dynamics to regional circulation will be investigated in a future study.

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Table 1. Description of the two sites near Nam Co lake used in this work.

Site	UBT	ITP
Coordinates	30°46.50′ N 90°57.61′ E	30°46.44′ N 90°57.72′ E
Soil	sandy–loamy	sandy
Porosity	0.63	0.393
Field Capacity	0.184	0.05
Wilting Point	0.115	0.02
Heat capacity ( $c_p$ ) [Jm <sup>-3</sup> K <sup>-1</sup> ]	2.5 × 10 <sup>6</sup>	2.2×10 <sup>6</sup>
Thermal Conductivity [Wm <sup>-1</sup> K <sup>-1</sup> ]	0.53	0.20
Surface Albedo ( $\alpha$ )	0.2	0.2
Surface Emissivity ( $\epsilon$ )	0.97	0.97
Vegetated Fraction	0.9	0.6
LAI $[m^2m^{-2}]$	0.9	0.6
Vegetation height [m]	0.07	0.15

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**Table 2.** Initial soil temperatures used in study ( $\bar{T}_2$  and  $\bar{T}_1$  are estimated from the respective base and top temperatures of the layer according to a quadratic temperature profile), change of layer 1 mean temperature ( $\Delta \bar{T}_1$ ) over the modified Hybrid run, soil moisture content of layer 1 at beginning of the modified model run ( $\theta_{1_{obs}}$ ) and at the end of the simulation ( $\theta_{1_{end}}$ ). The values in parenthesis are expressed as  $\theta_1$ /FC [-].

		$\bar{T_2}$	T <sub>1,base</sub>	$\bar{T_1}$	<i>T</i> <sub>0</sub>	$\Delta \bar{T_1}$	$\theta_{1_{obs}}$	$ heta_{1_{ ext{end}}}$
Site	Date	[°C]	[°C]	[°C]	[°C]	[°C]	[%]	[%]
UBT	10 July	3.9	11.8	10.9	9.3	-1.6	26.9 (1.47)	41.1(2.24)
	27 July	4.5	13.4	12.5	10.6	-1.6	20.8 (1.14)	17.0 (0.92)
	05 August	4.8	14.4	13.4	11.2	-3.0	26.9 (1.47)	19.1 (1.04)
	06 August	4.75	14.3	12.8	9.8	-1.4	25.4 (1.39)	34.0 (1.85)
ITP	10 July	5.4	16.2	13.2	7.2	-1.2	6.0 (1.1)	25.1 (5.02)
	27 July	7.2	21.6	17.8	10.2	-1.7	3.0 (0.6)	1.6 (0.32)
	05 August	5.7	17.1	11.1	-0.8	0.2	11.0 (2.2)	4.3 (0.86)
	06 August	5.6	16.8	11.6	1.1	1.9	9.0 (1.8)	3.7 (0.73)

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**Table 3.** Root mean square deviation (RMSD) between the modelled quantities of the original and modified Hybrid and reference values. The reference quantities used are either measured by EC and corrected for energy balance closure (EC,EBC) or modelled with SEWAB for fluxes or taken from longwave outgoing radiation for  $T_0$ . The values in parenthesis (*N*) correspond to the number of elements used for calculation of RMSD and  $R^2(I = 0)$  in Fig. 7.

			RMSD				
Site	Date	Run	Q <sub>E</sub> EC,E	<i>Q</i> <sub>Н</sub> ВС [Wm <sup>-2</sup> ]	Q <sub>E</sub> SEW	<i>Q</i> <sub>Н</sub> АВ [Wm <sup>-2</sup> ]	<i>T</i> <sub>0</sub> [°C]
UBT	10 July 27 July 05 August 06 August	orig	318 97 168 159	117 (8) 58 (19) 139 (11) 84 (52)	94 60 90 87	74 (94) 59 (139) 64 (110) 71 (128)	4.3 (139) 4.5 (143) 4.3 (143) 3.7 (143)
ITP	10 July 27 July 05 August 06 August	orig	182 43 224 118	93 (25) 64 (72) 103 (64) 80 (52)	97 58 179 130	69 (143) 75 (143) 68 (143) 119 (143)	3.7 (143) 3.8 (143) 8.3 (143) 5.1 (143)
UBT	10 July 27 July 05 August 06 August	mod	214 79 93 78	43 (8) 44 (19) 62 (11) 57 (52)	51 32 36 39	36 (94) 28 (139) 26 (110) 32 (128)	2.3 (139) 2.9 (143) 3.4 (143) 3.2 (143)
ITP	10 July 27 July 05 August 06 August	mod	74 42 44 68	73 (25) 58 (72) 80 (64) 82 (52)	42 55 64 113	32 (143) 36 (143) 30 (143) 77 (143)	1.6 (143) 2.6 (143) 2.6 (143) 3.5 (143)
UBT	all	orig mod	170 100	92 (90) 54 (90)	83 39	67 (471) 31 (471)	4.2 (568) 3.0 (568)
ITP	all	orig mod	152 54	84 (213) 73 (213)	125 74	86 (572) 48 (572)	5.6 (572) 2.7 (572)

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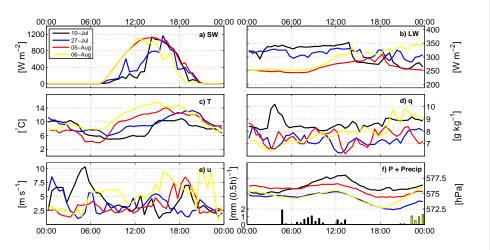


Fig. 1. Forcing data measured at UBT used for model runs: (a) downward shortwave radiation (*SW* [Wm<sup>-2</sup>]); b) downward longwave radiation (*LW* [Wm<sup>-2</sup>]); (c) air temperature (T [°C]); (d) water vapour mixing ratio(q [gkg<sup>-1</sup>]); (e) wind speed (U [ms<sup>-1</sup>]); (f) surface pressure (*P* [hPa]) and precipitation  $[mm(0.5h)^{-1}]$ ) Height c-e is 3 m.



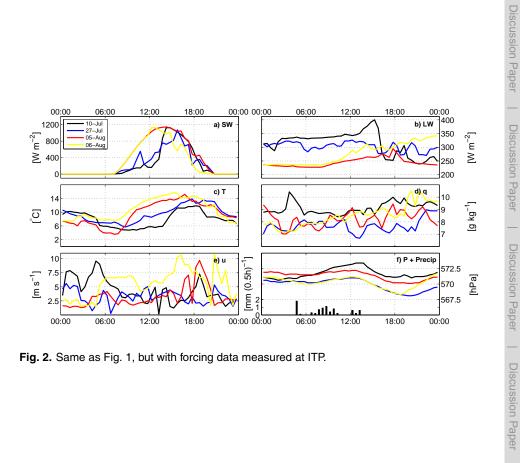
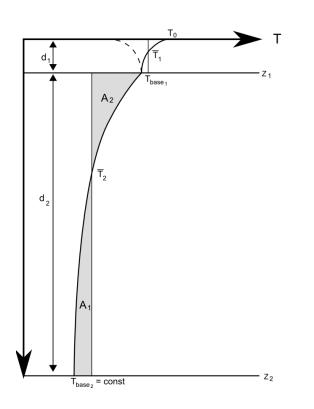


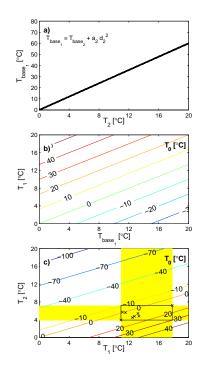
Fig. 2. Same as Fig. 1, but with forcing data measured at ITP.

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**Fig. 3.** Conceptional drawing of the assumed quadratic subgrid soil temperature profile and the associated parameters. In order to derive  $\overline{T_1}$  and  $\overline{T_2}$  geometrically the areas  $A_1$  and  $A_2$  must be equal.

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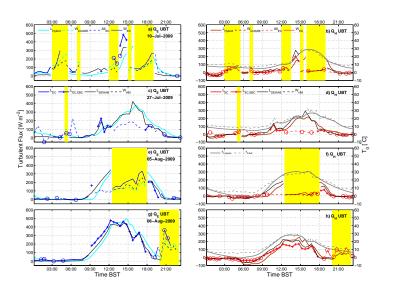
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**Fig. 4.** Dependency of soil temperature parameters: (a) relationship between mean temperature of layer 2 ( $\bar{T_2}$ ) and bottom temperature of layer 1 ( $T_{\text{base}_1}$ ) –  $a_2$  is calculated according to Eq. (4); (b) surface temperature ( $T_0$ ) contour plot as function of  $T_{\text{base}_1}$  and layer 1 mean temperature ( $\bar{T_1}$ ) and (c) contours of ( $T_0$ ) as function of  $\bar{T_2}$  and  $\bar{T_1}$ . The black rectangle at the intersection of the layer temperature ranges (yellow) indicates the theoretical parameter space given by the temperature values used in this study and the black crosses mark the actual configurations.

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**Fig. 5.** Model results for the modified Hybrid at UBT for 10 July 2009 (**a–b**), 27 July 2009 (**c–d**), 5 August 2009 (**e–f**) and 6 August 2009 (**g–h**). Left column: latent heat flux ( $Q_E$ ); right column: sensible heat flux ( $Q_H$ ) and surface temperature  $T_s$  [°C]. *L* and *W* refer to "land" and "water" as origin of the fluxes. *All* is the complete available time series. The subscript *Hybrid* refers to fluxes from Hybrid and *COARE* are fluxes from the lake derived by TOGA-COARE whereas *SEWAB* is a SVAT model and *HM* refers to a hydrodynamic multi-layer lake model after Foken (1984) and Panin et al. (2006). *EC* and *EC,EBC* refer to measurements by eddy covariance method where in the latter the energy balance has been closed by distributing the residual according to Bowen-ratio (this requires good data quality and fluxes and can only be done for fluxes that are attributed to land). The circles indicate poor data quality of t he EC system according to Foken et al. (2004). Yellow shading indicates times where the flux footprint of UBT was over the lake.

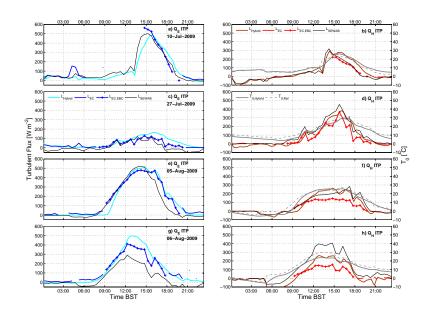
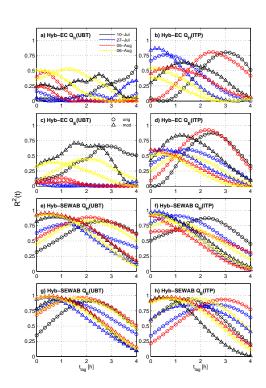


Fig. 6. Same as Fig. 5, but for ITP. There are no contributions from the lake.



**Fig. 7.** Correlation of simulated fluxes against flux reference shifted by  $t_{\text{lag}}$  as multiples of 10 minutes (Cross Correlation  $-R^2(t)$ ) for each of the four days simulated and for the original and modified Hybrid. The maximum number of elements used in the calculation of  $R^2$  for each curve can be taken from Table 3.